



ATTORNEY'S DOCKET NO. M0656 7046(HC)

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TECH. CHIEF, REC'D. DIV.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sasisekharan et al.  
Serial No: 09 384,959  
Filed: August 27, 1999  
For: RATIONALLY DESIGNED HEPARINASES DERIVED FROM HEPARINASE I AND II  
Examiner: Unassigned  
Art Unit: 1643

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 9 day of August, 2000.

Helen C. Lockhart  
Helen C. Lockhart

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is/are the following document(s):

- Information Disclosure Statement
- Form PTO-1449 with Cited References
- Certificate of Mailing
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Helen C. Lockhart  
Helen C. Lockhart  
Reg. No. 39,248  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. M0656/7046 (HCL)

Date: August 9, 2000

XNDD



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Aug 15 2000

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*Helen C. Lockhart*  
Helen C. Lockhart, Reg. No. : 39,248

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Commissioner for Patents  
Washington, D.C. 20231

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

**PART II: - Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant hereby makes the following additional information of record in the above-identified application:

The following are related pending U.S. non-provisional applications which do ~~not appear~~ on the 1449 form.

| <u>Serial No.</u> | <u>Filing Date</u> |
|-------------------|--------------------|
| 09/557,997        | April 24, 2000     |
| PCT/US00/10990    | April 24, 2000     |
| 09/558,137        | April 24, 2000     |

The following are remarks concerning the other information cited:

### PART III: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,  
*Sasisekharan et al., Applicant(s)*

By: 

Helen C. Lockhart, Reg No. 39,248  
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600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. M0656/7046(HCL)

Dated: August 9, 2000

**xNDD**

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|-----------------------------------------------------------------------------------|--|-----------------------------------|------------------------|
| FORM PTO-1449(Modified)                                                           |  | ATTY. DOCKET NO.: M0656/7046(HCL) | SERIAL NO.: 09/384,959 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT |  | APPLICANT: Sasisekharan et al.    |                        |
|                                                                                   |  | FILING DATE: August 27, 1999      | GROUP: 1643            |

#### U.S. PATENT DOCUMENTS

| Exam Init | Ref Des | Document No. | Date | Name | Class | Sub Class | FILING DATE If Appropriate |
|-----------|---------|--------------|------|------|-------|-----------|----------------------------|
|           |         |              |      |      |       |           |                            |
|           |         |              |      |      |       |           |                            |
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|           |         |              |      |      |       |           |                            |

#### FOREIGN PATENT DOCUMENTS

|  |  | Country & Doc. No. (11) | Pub. Date (43) |                   | Class | Sub Class | Translation Yes No |
|--|--|-------------------------|----------------|-------------------|-------|-----------|--------------------|
|  |  | WO95/34635              | 12/21/95       | IBEX Technologies | C12N  | 1/21      |                    |
|  |  | PCT/US99/19841          | 01/27/00       | PCT Search Report |       |           |                    |
|  |  |                         |                |                   |       |           |                    |
|  |  |                         |                |                   |       |           |                    |
|  |  |                         |                |                   |       |           |                    |
|  |  |                         |                |                   |       |           |                    |

#### OTHER ART

(Including Author, Title, Date, Pertinent Pages, Publication, Etc.)

|  |  |                                                                                                                                                                                                                                                                                                       |
|--|--|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|  |  | Shriver, Z. et al., Heparinase II from <i>Flavobacterium heparinum</i> : Role of Histidine Residues in Enzymatic Activity as Probed by Chemical Modification and Site-Directed Mutagenesis, <i>The Journal of Biological Chemistry</i> , Vol. 273, No. 17, Issue of April 24, 1998, pages 10160-10167 |
|  |  | Shriver, Z. et al., Heparinase II from <i>Flavobacterium heparinum</i> : Role of Cysteine in Enzymatic Activity as Probed by Chemical Modification and Site-Directed Mutagenesis, <i>The Journal of Biological Chemistry</i> , Vol. 273, No. 36, Issue of September 4, 1998, pages 22904-22912        |
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| EXAMINER | DATE CONSIDERED |
|          |                 |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEF 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant